PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2176
	)	
Susan D. Woolf et al.	)	Examiner: Nguyen, M.
	)	
Serial Number: 09/455,805	)	Attorney Docket No. 003797.78802
	)	
Filed: 12/07/1999	)	Confirmation No. 5591

For: SYSTEM AND METHOD FOR
ANNOTATING AN ELECTRONIC
DOCUMENT INDEPENDENTLY
OF ITS CONTENT

REQUEST FOR REFUND UNDER 37 C.F.R. § 1.26

U.S. Patent and Trademark Office
220 20th Street South
Customer Window, Mail Stop
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Dear Sir:

Pursuant to 37 C.F.R. § 1.26, applicants respectfully request a refund in the

amount of $650.00. Page 20 of our February 2006 U.S. PTO Deposit Account Statement

(Account 19-0733) includes the following PTO fees that were inappropriately charged:

02/17/06   USAN 09/455,805 fee code 1201 (independent claims in excess of

three), in the amount of $200.00; and

02/17/06   USAN 09/455,805 fee code 1202 (claims in excess of twenty), in

the amount of $450.00.

The application was filed on December 7, 1999 with 20 claims and 3 independent claims. An Amendment was filed on June 18, 2003 with 9 extra claims and 1 extra independent claim. These excess claim fee charges were charged to our U.S. PTO Deposit Account 19-0733 on the June 2003 Statement (page 13 of 20), as follows:

06/19/03   USAN 09/455,805 fee code 1201 (independent claims in excess of three), in the amount of $84.00; and

06/19/03   USAN 09/455,805 fee code 1202 (claims in excess of twenty), in the amount of $162.00.

No additional claims were filed in any amendments thereafter for the above-identified application. Accordingly, it is respectfully requested $650.00 be refunded to Deposit Account No. 19-0733 for the above reason.

Respectfully submitted,

John M. Fleming
Reg. No. 56,536

Date: March 22, 2006

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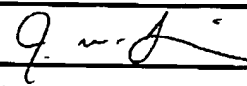01 FC:1201   200.00 DA
02 FC:1202   450.00 DA

Adjustment date: 04/14/2006 SDIRETA1
02/17/2006 SCHAPMAN 00000006 190733   09455805
01 FC:1201   200.00 CR
02 FC:1202   450.00 CR

01 FC:1201   200.00 DA
02 FC:1202   450.00 DA

Dep & Ref

TRANSMITTAL FORM	Application Number	09/455,805
	Filing Date	12/07/1999
MAR 22 2006	First Named Inventor	Susan D. Woolf et al.
	Art Unit	2176
(to be used for all correspondence after initial filing)	Examiner Name	Nguyen, M.
Total Number of Pages in This Submission 3	Attorney Docket Number	003797.78802

ENCLOSURES *(check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
  - ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) *(please identify below):*

Request for Refund Under 37 CFR 1.26

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Banner & Witcoff, LTD.		
Signature	*(signature)*		
Printed Name	John M. Fleming		
Date	03/22/2006	Reg. No.	56,536

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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